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PATENT

Docket No.: SON5180.03A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: L. MICHAEL MARITZEN; HAROLD A. LUDTKE; TOMITAKA TADAFUSA  
Serial No.: 09/737,277  
Filed: DECEMBER 12, 2000  
For: APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE  
Group:  
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

7/3/01  
  
John P. O'Banion, Reg. No. 33,201  
7/3/01  
Date of Signature

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed February 5, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Check in the amount of \$920 (\$790 filing fees and \$130 surcharge for the fees due as a small entity);
3. Petition for Extension of Time Under 37 CFR 1.136(a);
4. Check in the amount of \$890 (Extension Fee);
5. Power of Attorney by Assignee and Exclusion of Inventors;

6. Assignee Certification;
7. Assignment Cover Letter;
8. Assignment;
9. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed		Number Extra		Rate		Basic Fee
							\$ 710.00
Total							
Claims 37 CFR 1.16(c)	20	- 20	=	0	X	\$18.00	=
Independent							
Claims (37 CFR 1.16(b))	4	- 3	=	1	X	\$80.00	= 80.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))					+	\$270.00	=

Filing Fee Calculation \$ 790.00

Surcharge \$ 130.00

**TOTAL FILING FEE \$ 920.00**

**Small Entity Statement(s)**

\_\_\_ Verified Statements(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is enclosed.

Filing Fee Calculation (50% of above) \$ .00

Amount already paid LESS ( \$ )

**TOTAL FILING FEES DUE \$ 920.00**

X Assignment recording fee \$ 40.00

X Extension fee \$ 890.00

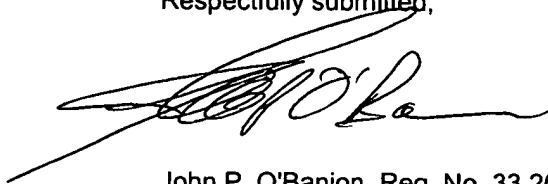
**TOTAL FEES DUE \$ 1,850.00**

Please charge any additional fees due to deposit account no. 07-1137.

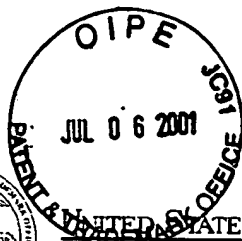
Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: 7/3/01

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John P. O'Banion", written over a horizontal line.

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/737,277	12/12/2000	L. Michael Maritzen	SON5180.03A

CONFIRMATION NO. 7927

FORMALITIES LETTER



\*OC000000005734882\*

John P. O'Banion  
O'BANION & RITCHEY LLP  
Suite 1550  
400 Capitol Mall  
Sacramento, CA 95814

Date Mailed: 02/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/10/2001 HNDRI 00000057 09737277  
710.00 DP  
130.00 DP  
80.00 DP  
02 FC:101  
03 FC:105  
04 FC:102

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **MICHAEL MARITZEN ET AL.**

Docket No.

**SON5180.03A**

Serial No.

**09/737,277**

Filing Date

**DECEMBER 12, 2000**

Examiner

Group Art Unit

Invention: **APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE**I hereby certify that this **Power of Attorney by Assignee (Page 1 & 2)**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**7/3/01**  
(Date)**JOHN P. O'BANION**

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)**Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **MICHAEL MARITZEN ET AL.**

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(Date)

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Applicant(s): MICHAEL MARITZEN ET AL.

Docket No.

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Serial No.

09/737,277

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DECEMBER 12, 2008

Examiner

Group Art Unit

Invention: APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE

I hereby certify that this Assignee Certification (Page 1)

(Identify type of correspondence)

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JUL 06 2001

Examiner

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(Date)

**JOHN P. O'BANION**

(Typed or Printed Name of Person Mailing Correspondence)

[Signature]  
(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**